

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Confirmation No.: 4092
TERRY VICTOR CLAPP	Group Art Unit: Unknown
Serial No.: 10/576,596	Examiner: Unknown
Filed: April 21, 2006	Attorney Docket: 2143.000400/KDG (SKG/G2194SUS)
For: METHOD AND APPARATUS FOR TRANSFORMING OPTICAL WAVE MODES	CUSTOMER NO. 23720

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS
DATED NOVEMBER 3, 2006**

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Notification of Missing Requirements dated November 3, 2006, there are enclosed herewith:

- (a) Declaration; and
- (b) Copy of Notification of Missing Requirements.

The Director is authorized to deduct the required fee under 37 C.F.R. §§ 1.16 to 1.21 relating to the enclosed materials from Williams, Morgan & Amerson, P.C. Deposit Account No. 50-0786/2143.000400KG.

Respectfully submitted,

WILLIAMS, MORGAN & AMERSON, P.C.
CUSTOMER NO. 23720

November 28, 2006

/Kenneth D. Goodman/
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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 Alexandria, Virginia 22313-1469
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/576,596	Terry Victor Clapp	2143000400KDG
		INTERNATIONAL APPLICATION NO.
		PCT/GB04/04420
23720 WILLIAMS, MORGAN & AMERSON 10333 RICHMOND, SUITE 1100 HOUSTON, TX 77042	<i>Missing Requirements</i> <i>Docketed</i> <i>for 11/3/07</i> <i>by [Signature]</i> <i>Q&P</i>	I.A. FILING DATE PRIORITY DATE
		10/19/2004 10/23/2003
CONFIRMATION NO. 4092		
371 FORMALITIES LETTER		
OC000000021097113		

Date Mailed: 11/03/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/21/2006
- Copy of the International Search Report filed on 04/21/2006
- Information Disclosure Statements filed on 04/21/2006
- U.S. Basic National Fees filed on 04/21/2006
- Priority Documents filed on 04/21/2006
- Specification filed on 04/21/2006
- Claims filed on 04/21/2006
- Abstracts filed on 04/21/2006
- Drawings filed on 04/21/2006

DOCKETING DEPT.

NOV 08 2006

WILLIAMS, MORGAN & AMERSON

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity.

- \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.

<https://portal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/576,596	PCT/GB04/04420	2143000400KDG

FORM PCT/DO/EO/905 (371 Formalities Notice)